

01/08/01  
JC945 U.S. PTO

01-09-01

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Appln. Trans.  
PATENT

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional  
applications under 37 CFR 1.53(b))

Attorney Docket No. 31304B-A-B 072874.0135  
First Named Inventor Mitchell et al.  
Express Mail Label No. EK839852329US  
Total Pages     

10/08/01  
9609756096  
JC903 U.S. PTO

January 8, 2001

**BY EXPRESS MAIL** - Label No. EK839852329US

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of Mitchell et al. entitled "METHODS AND COMPOSITIONS FOR USE IN SPLICEOSOME MEDIATED RNA TRANS-SPLICING"

which includes:

- |   |           |                      |
|---|-----------|----------------------|
| <input checked="" type="checkbox"/> Specification                                     | <u>94</u> | Total Pages          |
| <input checked="" type="checkbox"/> Claims  | <u>9</u>  | Total Pages          |
| <input checked="" type="checkbox"/> Abstract  | <u>1</u>  | Total Page           |
| <input checked="" type="checkbox"/> Drawing(s)  | <u>58</u> | Total Sheets         |
| <u>    </u> formal  |           |                      |
| <input checked="" type="checkbox"/> informal  |           |                      |
| <input checked="" type="checkbox"/> Combined Declaration and Power of Attorney        |           | <u>3</u> Total Pages |
| <input checked="" type="checkbox"/> Unexecuted (original or copy)                     |           |                      |
| <input type="checkbox"/> Copy from a prior application                                |           |                      |
| (for continuation/divisional only - must be filed to avoid surcharge for late filing) |           |                      |

If a continuing application, check appropriate box:

- |                                       |                                     |  |
|---------------------------------------|-------------------------------------|--|
| <input type="checkbox"/> Continuation | <input type="checkbox"/> Divisional | <input checked="" type="checkbox"/> Continuation-In-Part (CIP) |
| of prior application No. <u>    </u>  |                                     |  |

☒ Amend the specification by inserting, before the first line, the following sentence:

"This is a ☐ continuation ☐ divisional ☒ continuation-in-part  
of copending application Serial No. 09/158,863 filed September 23, 1998."

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Attorney Docket No. 31304B-A-B 072874.0135

- ☐ An Assignment of the invention to     
☐ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.  
☐ will follow.  
☐ has been filed in the prior application
- ☐ Small Entity Statement(s) **ENCLOSED**.  
☒ Small Entity Statement filed in prior application. Status still proper and desired.
- ☐ Information Disclosure Statement (IDS) PTO-1449  
☐ Copies of IDS Citations.
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☐ Other
- ☐ Cancel in this application original claims    of the prior application before calculating the filing fee.

The filing fee has been calculated as shown below:

FOR	(Col. 1) No. Filed	(Col. 2) No. Extra	Small Entity Rate	Fee	OR	Other Than A Small Entity Rate	Fee
Basic Fee				\$355.00			\$
Total Claims	54	-20 = 34	x 306 =	\$306.00	x 18 =		\$
Ind. Claims	14	-3 = 11	x 40 =	\$440.00	x 80 =		\$
Multiple Dependent Claim			+ 135 =	\$135.00	+ 270 =		\$
			Total	<u>\$1,236.00</u>			<u>\$</u>

\* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment Being Made:

☒ Enclosed

☒ Basic filing fee \$1,236.00

☐ Recording Assignment \$0.00  
 [\$40.00; 37 CFR 1.21(h)]

Total Fees Enclosed \$1,236.00

☒ A check in the amount of ~~\$0.00~~ to cover filing fee and assignment recordation fee is enclosed.

\$1,236.00

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Attorney Docket No. 31304B-A-B 072874.0135

Priority

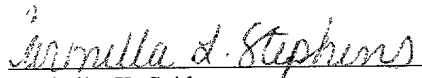
☐ Priority of application Country \_\_, Appln. No. \_\_ filed \_\_ is claimed under 35 U.S.C. 119.

☐ Certified Copy of Priority Document(s) Country \_\_, Appln No. \_\_, filed \_\_.

☐ is/are attached ☐ will follow ☐ has been filed in the parent application S/N \_\_.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

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Enclosures